



# Phytoplankton size structures and their interactive effects with nutrient conditions on sinking rates in a mariculture system

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**ABSTRACT:** Phytoplankton sinking rates (Srs) are often considered to be the predominant method through which organic matter is delivered out of the surface euphotic zone. Although the phytoplankton size structures (PSSs) are considered to be responsible for the Srs, which are in turn regulated by nutrient levels, their interactive effects on Srs are not so well studied. To address this knowledge gap, we measured the PSSs and their Srs, along with environmental variables including nitrate, nitrite, ammonium, phosphate, temperature ( $T$ ), salinity, and dissolved oxygen in summer and winter in a mussel farm. We found spatial variations in PSSs in both seasons. Owing to the size-selective feeding of mussels, the activity of the mussel farm may influence the spatial distribution of the phytoplankton community. The dominant PSSs were  $>20$  and  $<20$   $\mu\text{m}$  phytoplankton in summer and winter, respectively, suggesting seasonal variations in PSS. These dominant size groups were negatively related to nitrate in summer and positively related to  $T$  in winter, suggesting that nitrate and  $T$  may have played roles in influencing the PSS. In summer, micro- and picosized phytoplankton strongly affected their Srs in opposite directions, which were in turn mainly regulated by nitrate, indicating that nitrate may affect the Sr indirectly via PSS. Meanwhile, a direct effect of nitrate on Sr was found in both seasons but in opposite directions, suggesting a mutual interaction between Sr and nitrate. These results imply that in a mariculture system, the drivers of phytoplankton carbon export may involve multiple factors with complicated interactive effects.

**KEY WORDS:** Environmental variables · Nitrate · Phytoplankton · Sinking rate · Size structure

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## 1. INTRODUCTION

Particulate organic carbon produced by phytoplankton sinks out of the euphotic layer and is partly sequestered in the sediments, efficiently promoting the absorption of atmospheric carbon dioxide by the oceans (Falkowski et al. 1998, Boyd & Trull 2007). In general, faster-sinking phytoplankton contribute more to the vertical flux of carbon, while slower-sinking ones are more easily degraded into dissolved matter by marine bacteria and are then recycled in the water

column (Silver et al. 1978, Smith et al. 1992, Alcolombri et al. 2021). Since marine phytoplankton dominate primary production across  $\sim 70\%$  of the Earth's surface (Field et al. 1998, Mattei et al. 2021), their sinking velocity is one of the main drivers in regulating ocean carbon sequestration (Guidi et al. 2016). However, the mechanisms determining phytoplankton sinking rates (Srs) remain poorly understood.

Srs often differ among different phytoplankton size groups or even species (Takahashi & Bienfang 1983, Guo et al. 2016, Mao et al. 2023), since large phyto-

plankton sink faster than smaller ones (Smayda 1970). This fact suggests that phytoplankton size structures (PSSs) may play a vital role in influencing their Srs. In general, PSS and phytoplankton distribution are closely associated with various environmental factors, such as chemical, physical, and biological variables (Naselliflores 2000, Yin et al. 2011, Tian et al. 2013). Previous studies have indicated that seasonal changes in only one of these factors may impact the community of phytoplankton to a certain extent (Cetinić et al. 2006, Jiang et al. 2014). For instance, water temperature ( $T$ ) has been shown to be an important factor affecting PSS (Peters 1983, Reuman et al. 2014, López-Urrutia & Morán 2015), and nutrient conditions in the ocean are also considered to be a critical restricting factor for the abundance and community dynamics of phytoplankton (Smith 1982, Cetinić et al. 2006, Wang et al. 2015). PSSs are considered to be responsible for the Srs, which are in turn regulated by environmental variables including nutrients (Marañón et al. 2001, 2015, Maguer et al. 2009, Acevedo-Trejos et al. 2015, Marañón 2015, Mousing et al. 2018). Thus, an assessment of the Sr of different PSSs, under different environments with detectable nutrients, may contribute to a comprehensive understanding of the dynamics of phytoplankton export from the surface to the bottom water.

In addition, Srs could also be directly influenced by a variety of environmental factors, according to both laboratory experiment (or indoor cultivation) and field observations. The influence of these environmental parameters on phytoplankton cell sinking is likely to differ in various ways; for instance, salinity ( $S$ ) can influence the cellular size (Radchenko & Il'yash 2006),  $T$  can change the medium density (Agawin et al. 2000, Iversen & Ploug 2013), and nutrients can affect the osmotic pressure of the cells (Gemmell et al. 2016). However, external environmental parameters usually differ in their effects on particle sinking depending on spatial–temporal variability. In addition, among nutrients, nitrogen is one of the most restricting chemicals in affecting the cellular characteristics of phytoplankton, such as cellular morphology and density, and physiological state (Bienfang et al. 1982), which are the main factors regulating phytoplankton buoyancy (van Ierland & Peperzak 1984, Waite et al. 1997, Jiang et al. 2022). Accordingly, a number of studies have found that nitrate ( $\text{NO}_3^-$ ) limitation may facilitate Srs in the lab (Titman & Kilham 1976, Bienfang et al. 1982, Muggli et al. 1996). However, few studies have reported the association between nutrients and Srs *in situ*.

In this study, we sampled from a mussel farm in Gouqi Island, Zhejiang province, China, where the

nutrients are seasonally variable (Zhang et al. 2008, Guan et al. 2022). Although the seasonal dynamics of species composition of phytoplankton have been demonstrated (Guan et al. 2022), their PSSs and Srs have not yet been examined. Moreover, since mussels are selective filter feeders, mussel aquaculture may be a significant driver of phytoplankton dynamics in terms of size structures (Deng 2016, Zhang et al. 2024). Thus, Gouqi Island is an excellent survey area to test the interactive effects of PSSs and environmental variables on Srs. In the present study, we measured chemical and environmental variables, PSSs, and the corresponding Srs in summer and winter. The specific objectives were to determine (1) whether PSS and Sr change spatially and seasonally, and (2) whether and how the nutrients and PSSs regulate Srs. These results will contribute to our understanding of the phytoplankton carbon export and related mechanisms in coastal areas.

## 2. MATERIALS AND METHODS

### 2.1. Study area and water sampling

PSSs and Srs were measured at 5 sites (G1, G2, G3, G4, and G5) within a mussel farm around Gouqi Island, Zhejiang province, China, on 16–17 August 2021 and 7–8 December 2022 (Fig. 1). Among the sampling sites, G1 ( $30^\circ 42' 53.66'' \text{N}$ ,  $122^\circ 44' 57.99'' \text{E}$ ) and G4 ( $30^\circ 44' 01.97'' \text{N}$ ,  $122^\circ 46' 17.36'' \text{E}$ ) are close to the north shore of Gouqi Island, G5 ( $30^\circ 43' 34.09'' \text{N}$ ,  $122^\circ 45' 01.28'' \text{E}$ ) is in the center of the farm, and G2 ( $30^\circ 43' 48.77'' \text{N}$ ,  $122^\circ 43' 33.58'' \text{E}$ ) and G3 ( $30^\circ 44' 18.29'' \text{N}$ ,  $122^\circ 45' 04.38'' \text{E}$ ) are relatively far from shore and near the farm boundary. At each site, the vertical profiles of  $T$ ,  $S$ , and dissolved oxygen (DO) were measured using a Seabird conductivity, temperature, and depth (CTD) device (SBE 9/11 plus). Afterward, based on the maximum water depth at each site (Table S1 in the Supplement at [www.int-res.com/suppl/articles/m747p035\\_supp.pdf](http://www.int-res.com/suppl/articles/m747p035_supp.pdf)), water samples from the surface (0.5 m) and bottom (mean  $\pm$  SD:  $18.80 \pm 4.75$  m) layers were collected separately using a water sampler (5 l bottles) for analysis of chlorophyll  $a$  (chl  $a$ ) and Sr, and then the remaining water from each layer was mixed for analysis of nutrients because of the rapid vertical exchange of nutrients that occurs between surface and bottom waters, especially in the shallow coastal ocean in this study (Qu et al. 2022).

Water samples for nutrient measurement were first filtered through acid-cleaned acetate cellulose filters

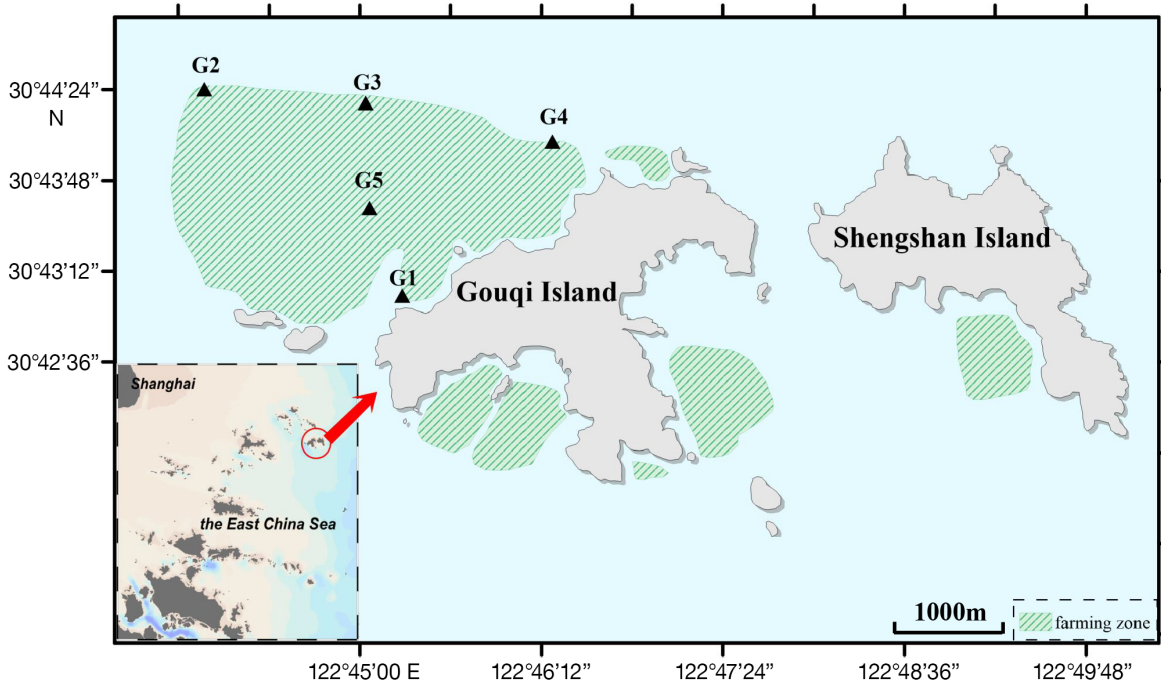


Fig. 1. Distribution of the 5 sampling sites in a mussel farm around Gouqi Island

(0.45  $\mu\text{m}$  pore size). Then the filtrates were treated by adding  $\text{HgCl}_2$  solution and stored in the dark at 0–4°C until use. In the laboratory, nutrients including nitrite ( $\text{NO}_2^-$ ),  $\text{NO}_3^-$ , ammonium ( $\text{NH}_4^+$ ), and phosphate ( $\text{PO}_4^{3-}$ ) were analyzed with test kits using a spectrophotometer (HACH DR6000).

Water samples for chl  $a$  analysis from each of the surface and bottom layers were first poured onto filters and then maintained frozen at  $-20^\circ\text{C}$  until analysis. Chl  $a$  was extracted with 90% acetone for 24 h at  $-20^\circ\text{C}$  in the dark and measured with a spectrophotometer (HACH DR6000) (Welschmeyer 1994). To examine the proportion of different size classes of phytoplankton, we estimated the size-fractionated chl  $a$ . The size-fractionated filtration method for measuring chl  $a$  concentration in each size group involves filtering water through different filters with decreasing pore sizes. In the present study, for each site,  $\sim 1000$  ml of seawater collected from each layer was filtered sequentially through a 20  $\mu\text{m}$  nylon membrane, 2 and 0.2  $\mu\text{m}$  polycarbonate membrane filters (47 mm; Merck Millipore) under low-vacuum pressure ( $< 0.04$  MPa). In addition, following Sieburth et al. (1978), the chl  $a$  concentration in each size group was defined as pico-sized, nano-sized, and micro-sized chl  $a$  for the size ranges of 0.2–2, 2–20, and  $> 20$   $\mu\text{m}$ , respectively. Thereafter, the total chl  $a$  content (called ‘total size-fractionated chl  $a$  concentration’) was calculated from the sum of the 3 size

groups for each sample. Thus, the contribution of each size group of chl  $a$  to the total chl  $a$  content (chl  $a_{\text{proportion}}$ ) was defined as follows:

$$\frac{\text{Chl } a_{xyz}}{\text{Pico-sized chl } a_{xy} + \text{Nano-sized chl } a_{xy} + \text{Micro-sized chl } a_{xy}} \quad (1)$$

where  $x$ ,  $y$ , and  $z$  represent the sampling site, layer, and phytoplankton size class, respectively.

Sr was examined using a SETCOL method described by Bienfang (1981) for each site at selected layers, including the surface and bottom layer in both seasons. Based on Guo et al. (2016), we modified the height and diameter of the settling column designed by Bienfang (1981). A plexiglass column with 6 cm internal diameter, 25 cm height, and 0.8 l volume was used (Fig. S1). To reduce wall effects on the particle sinking process, the column diameter was widened (Mao et al. 2023). For the analysis, the settling column was filled with a homogeneous seawater sample and capped. Then, the plexiglass column was allowed to settle undisturbed for 2–3 h (Guo et al. 2016). During incubation, the SETCOL apparatus was covered with a neutral density screen to keep the water sample in the dark. The incubation was terminated by successively draining the upper, middle, and bottom layers of the SETCOL compartments by taps through the column wall. The phytoplankton chl  $a$  concentration, using before and after incubation in all 3 compart-

ments, was combined to calculate Srs as follows (Mao et al. 2023):

$$\Psi = \frac{[V_b Chla_b - V_b(Chla_0 + Chla_t)/2]}{(V_u + V_m + V_b)(Chla_0 + Chla_t)/2} \times \left(\frac{L}{t}\right) \quad (2)$$

where  $\psi$  represents the Sr;  $V_b$ ,  $V_m$ , and  $V_u$  represent the volume of the bottom, middle, and upper part of the column, respectively (Fig. S1);  $Chla_0$  and  $Chla_t$  represent the total chl  $a$  concentration at the beginning and end of the experiment, respectively;  $Chla_b$  represents the total chl  $a$  concentration in the settling zone at the end of the experiment;  $L$  represents the column length; and  $t$  represents the settling interval. For each site, 3 replicate settling columns were filled with collected seawater from each sampling layer.

## 2.2. Statistical analysis

The Sr data and the concentrations of pico-, nano-, and micro-sized chl  $a$  were log transformed to stabilize the variance. Shapiro-Wilk and Levine's tests were then used to determine normality and homogeneity, respectively. After confirming that the transformed data met these assumptions, the effects of season (summer and winter), site (G1, G2, G3, G4, and G5), layer (surface and bottom), and their interactions on these data were statistically tested using a 3-way ANOVA. The 'lsmean()' function in the 'lsmean' package in R was used for post-hoc interaction analysis. Significant effects were determined at  $p < 0.05$ , and the  $\alpha$  level was adjusted by a Bonferroni correction for multiple comparisons with interaction terms.

To clarify the effect of environmental factors, including nutrient concentrations of  $\text{NO}_2^-$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , and  $\text{PO}_4^{3-}$ , and water depth, DO,  $T$ , and  $S$  on the concentrations of pico-, nano-, and micro-sized chl  $a$  in each season, we conducted a redundancy analysis (RDA). The 'rdacca.hp.()' function in the 'rdacca.hp.' package in R was performed to evaluate the individual importance of all environmental factors on the variation in PSS composition (Lai et al. 2022). Then we examined the relationship between the proportion of each size-fractionated chl  $a$  group to the total size-fractionated chl  $a$  concentration and Sr in each season using a generalized linear model (GLM). After that, to examine the contributions of nutrient concentrations including  $\text{NO}_2^-$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , and  $\text{PO}_4^{3-}$ , and water depth,  $T$ , and  $S$  to Srs in each season, we conducted a GLM with model selection procedures. The models, composed of single variables, were performed to identify the most important variable. We selected the best-fitting model using Akaike's information crite-

rior (AIC). We estimated the difference in AIC value ( $D_i$ ) between the best fit and other models. If  $D_i$  for model  $i$  was  $< 2$ , the model was treated equally as the minimally adequate model (Burnham & Anderson 2003). The conventional coefficient of determination ( $r^2$ ) was used as a percentage of variation to explain the Sr. All statistical tests were performed in R v3.6.1 (R Core Team 2019).

## 3. RESULTS

### 3.1. Hydrographic conditions

Profiles of nutrient concentrations,  $T$ ,  $S$ , and DO are shown in Table S1. In the study area, the seasonal variations in  $S$  and concentrations of  $\text{NO}_3^-$  were relatively small. Although  $T$  and concentrations of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  in summer were higher than in winter, the opposite result was found for DO and concentrations of  $\text{PO}_4^{3-}$ . Among the sampling sites, G1 showed a relatively high concentration of  $\text{NH}_4^+$  and high  $S$ , especially in summer.

### 3.2. Total and size-fractionated chl $a$ concentrations

The total size-fractionated chl  $a$  concentration largely differed among sampling sites regardless of sampling layer, which was higher in summer (average:  $2.35 \pm 0.98 \mu\text{g l}^{-1}$ ) than in winter ( $0.57 \pm 0.17 \mu\text{g l}^{-1}$ ) (Fig. 2). The 3 measured size-fractionated chl  $a$  concentrations also varied between these 2 seasons (Table 1). Although the concentration of pico-sized phytoplankton was higher in winter than in summer, an opposite result was found for nano- and micro-sized phytoplankton (Figs. 2 & 3). In summer, the dominant size class was micro-sized phytoplankton, whose contribution to total size-fractionated chl  $a$  concentration (average:  $80 \pm 11\%$ ) was higher than that in winter ( $39 \pm 13\%$ ) (Figs. 2 & 3), whereas the nano-sized ( $24 \pm 10\%$ ) and pico-sized ( $37 \pm 9\%$ ) phytoplankton represented a higher proportion of total size-fractionated chl  $a$  concentration in winter than in summer (i.e. an average value of  $13 \pm 8$  and  $7 \pm 9\%$  for nano- and pico-sized chl  $a$ , respectively) (Figs. 2 & 3).

In addition, although no difference was found between sampling layers, all 3 size-fractionated chl  $a$  concentrations showed large variations among sampling sites (Table 1, Fig. 3). Significant interaction effects of season, site, and layer were found on all size-fractionated chl  $a$  concentrations (Table 1). To

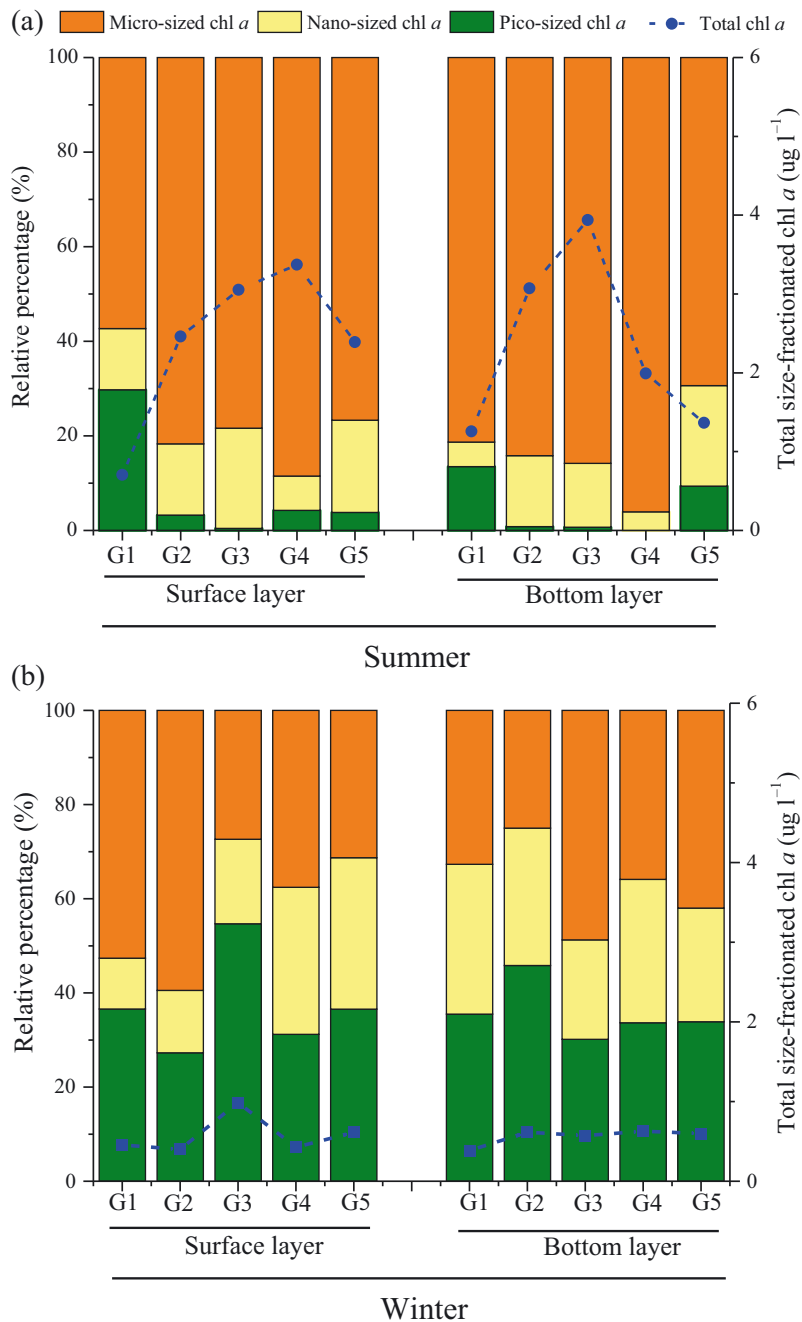


Fig. 2. Total size-fractionated chl *a* concentration and the relative proportion for each size class among sampling stations in (a) summer and (b) winter. Blue dots and lines: total size-fractionated chl *a* concentration, which was the sum of the 3 size classes

better present the multiple comparisons with the interaction terms, we examined the least-squares means for all 3 size-fractionated chl *a* concentrations at each site in each season (Table S2). We found that the concentration of pico-sized phytoplankton was relatively high at Site G1 in summer, while Site G3 showed a higher value than the other sites in winter (Fig. 3a,b).

For both nano- and micro-sized phytoplankton, Site G3 represented a relatively high value than Site G1 in summer, while a similar level was found for all sites in winter (Fig. 3).

### 3.3. Sinking rates

Measured Srs based on the changes in chl *a* concentrations in SETCOL are presented in Fig. 3. The Srs in summer, ranging from 0.02 to 0.41  $\text{m h}^{-1}$  ( $0.23 \pm 0.10 \text{ m h}^{-1}$ ), were significantly higher than in winter, ranging from 0.08 to 0.35  $\text{m h}^{-1}$  ( $0.20 \pm 0.08 \text{ m h}^{-1}$ ) (Table 1). Although the Srs did not differ between sampling layers, they differed significantly among sites (Table 1, Fig. 4). In addition, significant interaction effects of season, site, and layer on Srs were found (Table 1). As above, the least-squares means for Srs at each site in each season were also examined (Table S2). We found that in summer, the Srs at Site G1 were significantly lower than those at Sites G3 and G4. Conversely, in winter, the Srs at Sites G3 and G5 were lower than that at Sites G1 and G4 (Fig. 4).

### 3.4. Relation between environmental factors and PSS

The association between environmental variables and PSS was examined using RDA. The environmental factors analyzed included *T*, *S*, DO, and concentrations of  $\text{NH}_4^+$ ,  $\text{NO}_2^-$ ,  $\text{NO}_3^-$ , and  $\text{PO}_4^{3-}$ . Among them, concentrations of  $\text{NO}_3^-$  had the highest individual importance (24.58%,  $p = 0.001$ ), followed by concentrations of  $\text{NH}_4^+$  (17.13%,  $p = 0.002$ ) and DO (12.53%,  $p = 0.01$ ) in summer, while *T* had the

highest unique importance (8.39%,  $p = 0.037$ ), followed by *S* (4.19%,  $p = 0.126$ ) and DO (2.77%,  $p = 0.171$ ) in winter (Table S3, Fig. S2). In addition, Fig. 5a shows that in summer, the first axis is positively related to micro-sized phytoplankton and *T*, while being negatively related to *S* and the concentration of  $\text{NO}_3^-$ . The second axis is positively related to pico-

Table 1. Results of 3-way ANOVA for the effects of season (summer and winter), sampling site (G1, G2, G3, G4, and G5), layer (surface and bottom), and their interactions on phytoplankton sinking rate and concentrations of each size-fractional chl *a* (pico-, nano-, and micro-sized phytoplankton) in Gouqi Island. Statistically significant p-values ( $p < 0.05$ ) are in **bold**

Traits	Season (Se)		Site (Si)		Layer (L)		Se × Si × L	
	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>	<i>F</i>	<i>p</i>
Sinking rate ( $\text{m h}^{-1}$ )	4.322	<b>0.044</b>	17.617	<b>&lt;0.001</b>	0.017	0.896	4.84	<b>0.0028</b>
Pico-sized chl <i>a</i> concentration ( $\mu\text{g l}^{-1}$ )	80.581	<b>&lt;0.001</b>	2.813	<b>0.038</b>	5.211	0.058	10.911	<b>&lt;0.001</b>
Nano-sized chl <i>a</i> concentration ( $\mu\text{g l}^{-1}$ )	39.358	<b>&lt;0.001</b>	11.079	<b>&lt;0.001</b>	0.206	0.652	3.355	<b>0.002</b>
Micro-sized chl <i>a</i> concentration ( $\mu\text{g l}^{-1}$ )	865.306	<b>&lt;0.001</b>	31.566	<b>0.011</b>	0.009	0.924	12.743	<b>&lt;0.001</b>

sized phytoplankton, DO, concentrations of  $\text{NH}_4^+$ ,  $\text{NO}_2^-$ , and  $\text{PO}_4^{3-}$ , while being negatively related to nano-sized phytoplankton. In winter, the first axis is positively related to S and the concentration of  $\text{NO}_2^-$ , while being negatively related to pico- and micro-sized phytoplankton. On the second axis, nano-sized phytoplankton are positively related to T and concentrations of  $\text{NH}_4^+$  and  $\text{PO}_4^{3-}$ , while being negatively related to DO (Fig. 5b).

### 3.5. Relation between PSS and Srs

To clarify the relationship between the relative proportion of each size-fractionated chl *a* concentration and Sr in each season, we conducted a GLM (Fig. 6). In summer, the Sr was not only positively related to the proportion of micro-sized chl *a* to total size-fractionated chl *a* concentration ( $r = 0.438$ ,  $p = 0.015$ ) (Fig. 6c), but also negatively associated with the relative proportion of pico-sized chl *a* ( $r = -0.540$ ,  $p = 0.002$ ) (Fig. 6a). However, the Sr was not related to the relative proportion of nano-sized chl *a* in both seasons (Fig. 6b,e). In winter, the Sr was only negatively related to the relative proportion of pico-sized chl *a* ( $r = -0.444$ ,  $p = 0.014$ ) (Fig. 6d).

### 3.6. Modeling Srs with environmental factors

A GLM was also performed to determine the contributions of environmental factors to Srs (Table 2). When various environmental factors were considered as explanatory variables, the concentration of  $\text{NO}_3^-$  in both seasons was selected as the best single-parameter model for Sr according to AIC. Indeed, most of the variations in Sr were explained by the concentration of  $\text{NO}_3^-$  in both summer (59.4%) and winter (34.5%). However, although Sr and the concentration of  $\text{NO}_3^-$  were negatively related in summer, an opposite correlation was found in winter (Fig. 7).

The concentration of  $\text{NH}_4^+$  also proved to be significantly associated with Sr but explained only 12.6 and 28.1% of the variation in summer and winter, respectively (Table 2).

## 4. DISCUSSION

### 4.1. Phytoplankton size structures

In this study, 3 size-fractionated chl *a* concentrations (0.2–2, 2–20, and >20  $\mu\text{m}$ ) were determined at selected layers (surface and bottom) among 5 sites in a mussel farm around Gouqi Island. Although our study includes a limited number of survey sites, their strategic placement across the farm provides valuable insights. We designated 2 sites in the near-shore area (G1 and G4), 3 at the boundary zone (G2, G3, and again G4), and one in the central region of the farm (G5). Despite being spread across different areas, the environmental variables we monitored showed minimal variation among sites within a season, indicating a degree of uniformity in the aquatic environment. The current results showed that the concentrations of each size of phytoplankton did not differ between layers, although they differed greatly among sampling sites. This finding is in agreement with a previous study indicating that the PSSs at the surface may reflect the entire water column (Shiomoto & Inoue 2020). In addition, we found seasonal variations in the measured PSSs (cf. Yang et al. 2008, Pulina et al. 2018, Shiomoto & Inoue 2020). Among 3 size structures, micro-phytoplankton accounted for a high proportion in summer (ca. 80%) and also a relatively high proportion in winter (ca. 39%). On the other hand, smaller phytoplankton (<20  $\mu\text{m}$ ) occupied a higher proportion in winter (ca. 61%). These results suggest that large and smaller sizes of phytoplankton accounted for the largest proportion of the primary producers in summer and winter, respectively, in the study area.

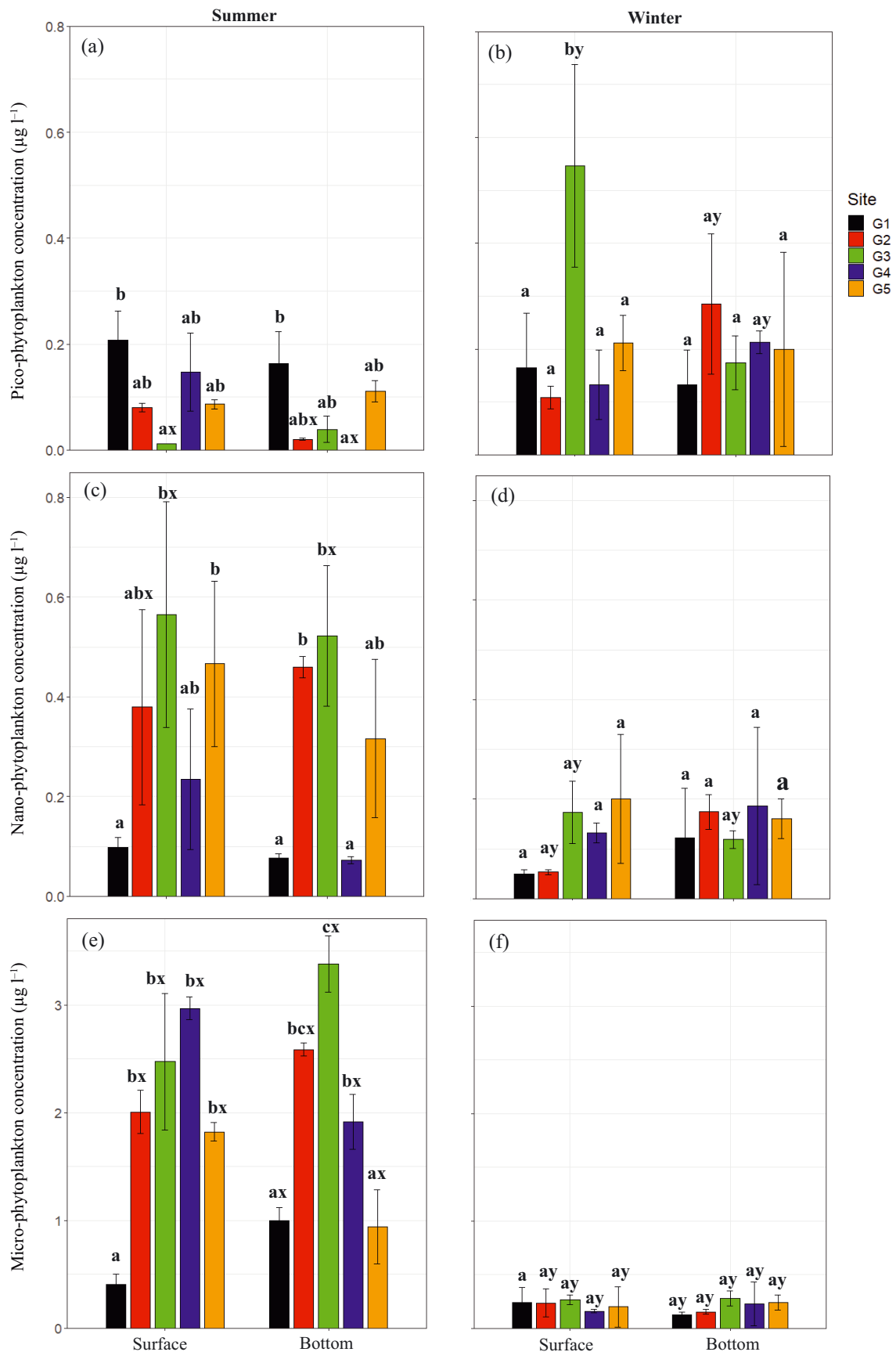


Fig. 3. Spatial variation of concentration for each size class (a,b: pico-; c,d: nano-; e,f: micro-phytoplankton) among sampling stations in (a,c,e) summer and (b,d,f) winter. Error bars: SE among the replications (n = 3). Different lowercase letters (a and b) above bars represent significant differences (p < 0.05) for each size class among sampling sites at each sampling layer within each season; x and y represent significant differences for each size class between seasons within each sampling site at each sampling layer. Bars with the same letter do not differ significantly

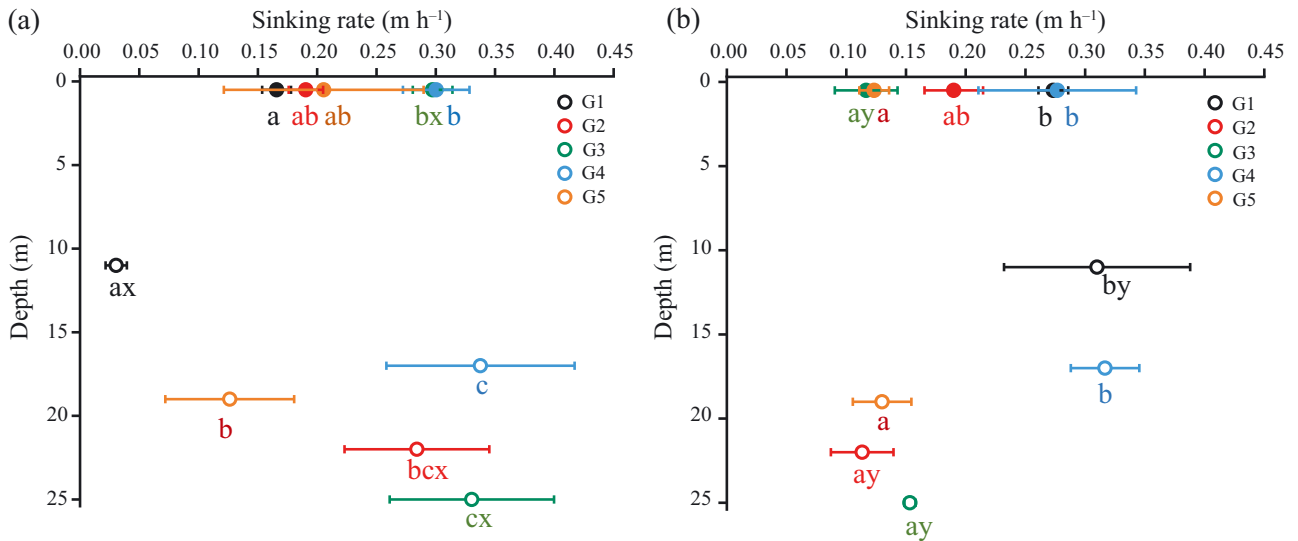


Fig. 4. Phytoplankton sinking rates measured with the SETCOL method at each sampling layer among survey stations in (a) summer and (b) winter. Error bars: SE among the replications ( $n = 3$ ). Different lowercase letters (a and b) represent significant differences ( $p < 0.05$ ) for each size class among sampling sites at each sampling layer within each season; x and y represent significant differences for each size class between seasons within each sampling site at each sampling layer. Bars with the same letter do not differ significantly

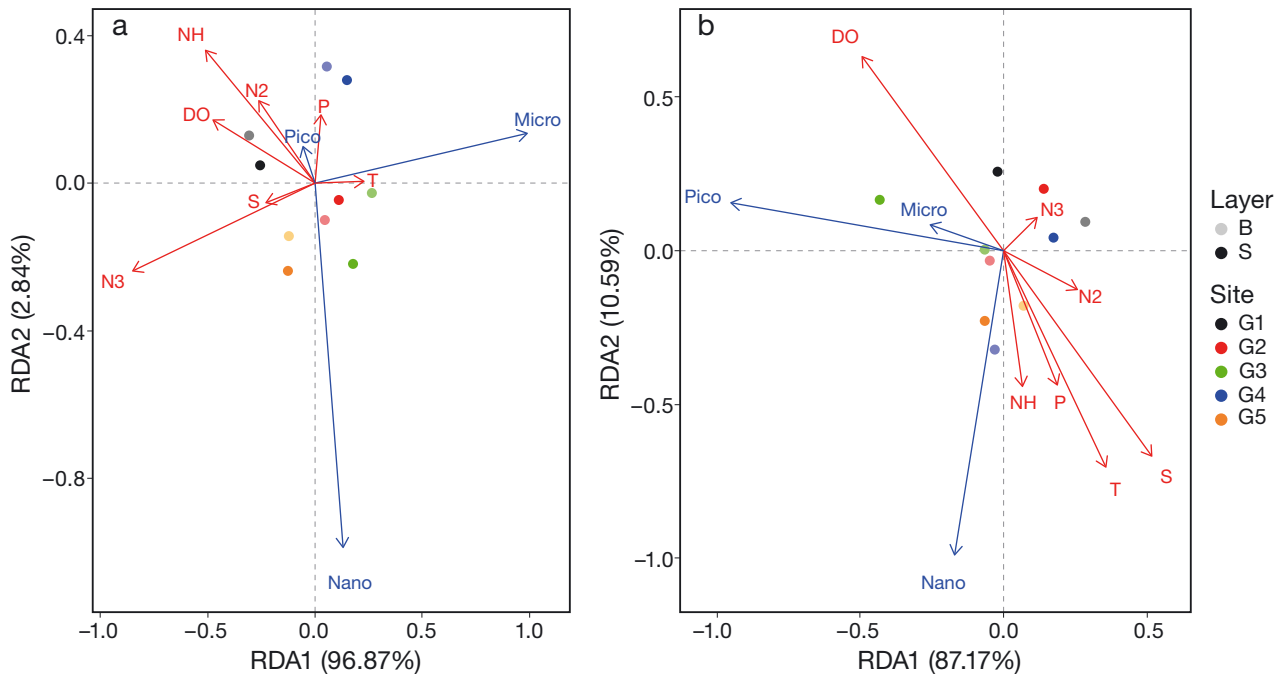


Fig. 5. Redundancy analysis (RDA) of the concentration of each phytoplankton size class with environment factors in (a) summer and (b) winter. Blue and red arrows: phytoplankton size structures and environment factors, respectively. *T*: temperature; *S*: salinity; *DO*: dissolved oxygen; *N2*:  $\text{NO}_2^-$ ; *N3*:  $\text{NO}_3^-$ ; *NH*:  $\text{NH}_4^+$ ; *P*:  $\text{PO}_4^{3-}$

In certain coastal regions, nanophytoplankton can constitute a significant portion of the total phytoplankton biomass and play a pivotal role in shaping the dynamics of PSSs (Kocum 2020). However, nanophytoplankton showed the lowest contribution to the total chl *a* in the mussel farm around Gouqi Island. One

possibility for this phenomenon is the size-selective feeding of mussels on nano-phytoplankton. The preferred size range of phytoplankton for these mussels is 5–30  $\mu\text{m}$ , with the gill cilia showing the highest retention efficiency for particles sized 10–20  $\mu\text{m}$  (reaching up to 95%) and lower efficiencies for par-



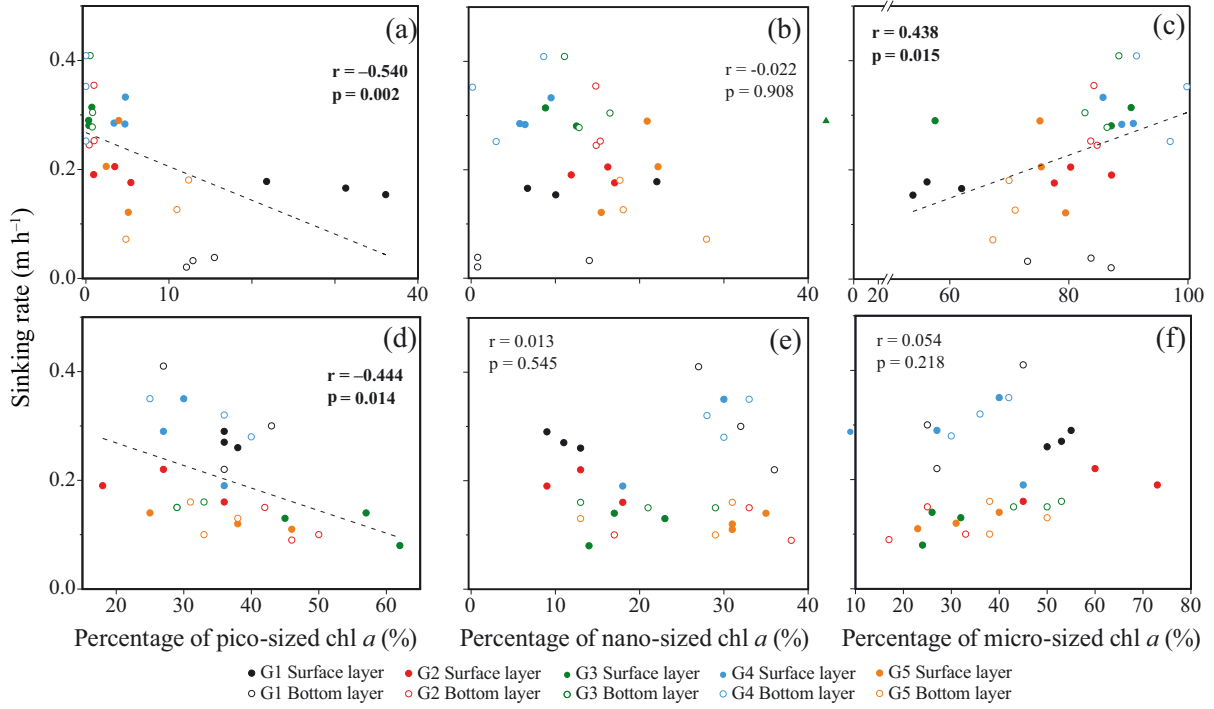


Fig. 6. Phytoplankton sinking rate fitted against the relative proportion of (a,d) pico-, (b,e) nano-, and (c,f) micro-phytoplankton in summer (a,b,c) and winter (d,e,f). The correlation coefficient ( $r$ ) and significant probability ( $p$ ) of the regression lines ( $p < 0.05$ ) are shown in **bold** in the panels of statistically significant regressions

ticles smaller than 10  $\mu\text{m}$  or larger than 20  $\mu\text{m}$  (Li 2019). This indicates a relatively high removal rate of nano-phytoplankton by mussels in this region and, consequently, a decline in their contribution to total chl  $a$ . If this is the case, selective predation by intensively farmed mussels may alter the size composition of the phytoplankton community (Zhang et al. 2024).

In addition to the filter-feeding of cultured mussels, environmental factors may also play roles in impacting the PSSs. To identify the dominant factors affecting the PSS in these 2 seasons, we performed an RDA. The results showed that various environmental factors between the 2 seasons were responsible for the PSS. In winter, only  $T$  significantly affected the PSS and was positively associated with smaller phytoplankton (2–20  $\mu\text{m}$ ), suggesting that the proportion of smaller phytoplankton increased with increasing  $T$  in winter (Atkinson et al. 2003, Marañón et al. 2012, López-Urrutia & Morán 2015). Moreover, we found that the concentration of most nutrients was

relatively low in winter. Compared to the large plankton species, the smaller ones may have an advantage for limited resources due to a higher rate of nutrient uptake at higher  $T$  (Peters 1983, Reuman et al. 2014).

Table 2. Coefficient of determination ( $r^2$ ) and p-values for single-parameter models of phytoplankton sinking rate (Sr) constricted by the environmental variables of each season (summer and winter) as explanatory variables in Gouqi Island.  $T$ : temperature;  $S$ : salinity;  $\text{DO}$ : dissolved oxygen. The best models, selected based on Akaike's information criterion (AIC), are in **bold**

Season	No.	Single-parameter models	$r^2$	p	AIC
<b>Summer</b>	<b>1</b>	<b>Sr ~ 0.660 – 0.419 (<math>\text{NO}_3^-</math>)</b>	<b>0.594</b>	<b>&lt; 0.001</b>	<b>–72.69</b>
	2	Sr ~ 0.403 – 0.020 ( $\text{NH}_4^+$ )	0.126	0.031	–49.69
	3	Sr ~ 4.040 – 0.781 (DO)	0.020	0.216	–46.49
	4	Sr ~ 0.294 – 0.241 ( $\text{NO}_2^-$ )	0.019	0.462	–45.19
	5	Sr ~ –0.153 + 0.015 ( $T$ )	0.020	0.456	–45.14
	6	Sr ~ 0.210 + 0.002 (Depth)	0.024	0.410	–45.42
	7	Sr ~ 0.168 + 0.067 ( $\text{PO}_4^{3-}$ )	0.023	0.419	–45.29
	8	Sr ~ 0.222 – 0.0001 ( $S$ )	0.000	0.971	–44.60
<b>Winter</b>	<b>1</b>	<b>Sr ~ –0.140 + 8.500 (<math>\text{NO}_3^-</math>)</b>	<b>0.345</b>	<b>&lt; 0.001</b>	<b>–67.97</b>
	2	Sr ~ 0.727 – 6.125 ( $\text{NH}_4^+$ )	0.281	0.002	–65.16
	3	Sr ~ 0.065 + 39.722 ( $\text{NO}_2^-$ )	0.014	0.244	–55.71
	4	Sr ~ 2.398 – 0.361 (DO)	0.000	0.324	–55.29
	5	Sr ~ –0.996 + 0.039 ( $S$ )	0.023	0.428	–54.92
	6	Sr ~ 0.210 – 0.001 (Depth)	0.021	0.445	–54.87
	7	Sr ~ –0.320 + 0.040 ( $T$ )	0.013	0.549	–54.62
	8	Sr ~ 0.173 + 0.223 ( $\text{PO}_4^{3-}$ )	0.002	0.820	–54.29

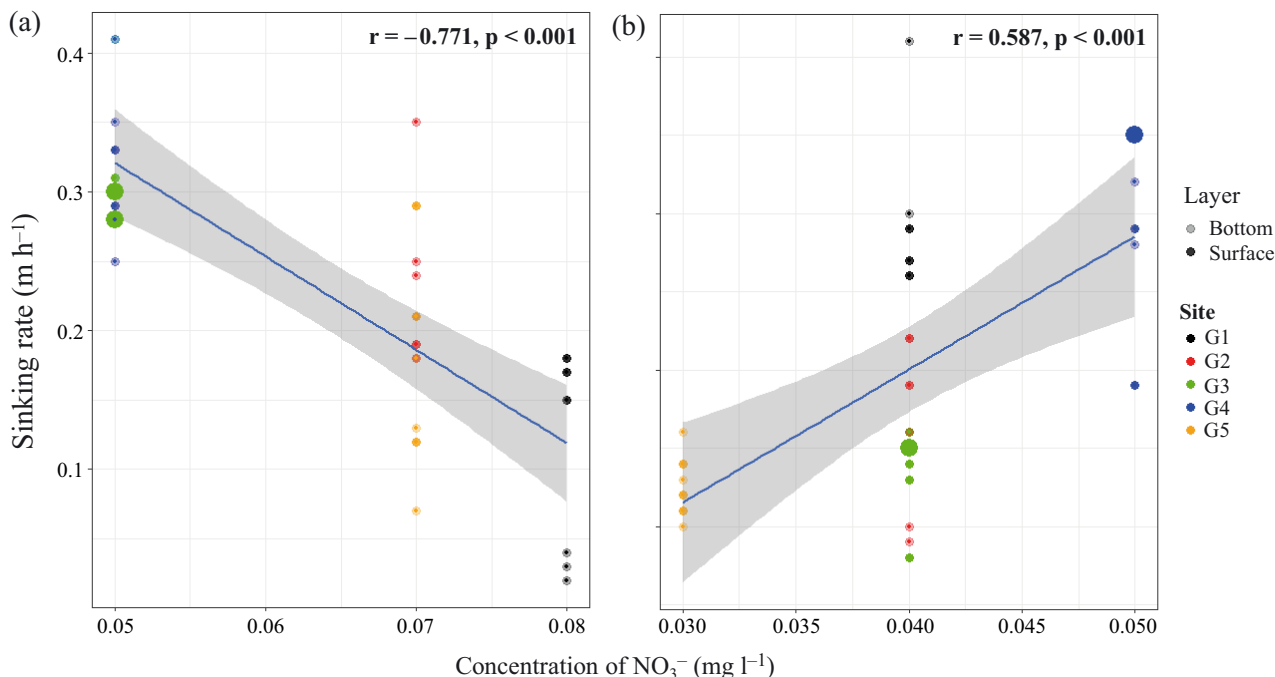


Fig. 7. Phytoplankton sinking rate fitted against the concentration of nitrate in (a) summer and (b) winter. Regression lines with a 95% confidence interval (shaded) and equations with the correlation coefficient are shown in each panel. Circle sizes represent numbers of the same value

Thus, the smaller-sized phytoplankton would be the dominant size class in winter.

In summer, NO<sub>3</sub><sup>-</sup> and NH<sub>4</sub><sup>+</sup> were the main factors affecting the PSS. Compared with a coastal region near our study site in the East China Sea (Guo et al. 2016), the concentration of NO<sub>3</sub><sup>-</sup> for both seasons in the study area was 10 times lower, while the concentration of NH<sub>4</sub><sup>+</sup> was relatively high. Such a low detectable concentration of NO<sub>3</sub><sup>-</sup> should reduce phytoplankton photosynthetic capacity, growth, and biomass (Graziano et al. 1996). But the question remains why the chl *a* of large phytoplankton was still relatively high in Gouqi Island. One possibility is that the environmental nutrient concentration is primarily regulated by phytoplankton uptake (Torres-Valdés & Purdie 2006). In general, large phytoplankton with high biomass may selectively and strongly absorb NO<sub>3</sub><sup>-</sup> (Domingues et al. 2011), thus resulting in low detectable concentrations. It should be noted that mussel aquaculture may also strongly affect these nutrient levels. A previous study showed that shellfish cultivation can enhance the removal of nutrients such as nitrogen from seawater through the process of biomass harvest (Taylor et al. 2019, Liu et al. 2021). This possibility suggests that mussel aquaculture is likely also responsible for the relatively low concentration of nitrogen. Meanwhile, the process of mussel feeding involves the consumption of phytoplankton and other suspended organic particles, sequestering

organic carbon within their tissues and packaging waste into fecal pellets, thus enhancing the flux of organic carbon to the seafloor and potentially increasing carbon burial rates in sediments (Giles et al. 2006). The deposited organic matter in the sediment is predicted to be mineralized and induces the increase of NH<sub>4</sub><sup>+</sup> production due to dissimilatory NO<sub>3</sub><sup>-</sup> reduction (Christensen et al. 2003, Carlsson et al. 2009, 2012, Nizzoli et al. 2011). Thus, intensive mussel aquaculture may strongly influence the NH<sub>4</sub><sup>+</sup> dynamics in Gouqi Island. To better understand the nutrient dynamics, future research is needed to explore nutrient cycling in the water column and sediments and assess their contribution to nutrient dynamics.

Among the nutrients, NO<sub>3</sub><sup>-</sup> was negatively correlated with micro-sized phytoplankton, while NH<sub>4</sub><sup>+</sup> was positively associated with pico-sized phytoplankton. These results suggest that small phytoplankton possibly dominate under nitrogen-rich conditions (Site G1), whereas larger phytoplankton dominate under nitrogen-deficient conditions (Sites G2, G3, and G4) in our study area. However, this is contrary to previous findings about the relationship between PSSs and nutrient concentrations (Marañón et al. 2001, 2015, Maguer et al. 2009, Acevedo-Trejos et al. 2015, Marañón 2015, Mousing et al. 2018). The possible reason for this disparity is that the uptake preference for NH<sub>4</sub><sup>+</sup> and NO<sub>3</sub><sup>-</sup> by phytoplankton is likely size-group-specific. In general, diatoms primarily utilize NO<sub>3</sub><sup>-</sup>, while green algae and

cyanobacteria prefer  $\text{NH}_4^+$  (Domingues et al. 2011). If this is the case, the positive relationships between pico-sized phytoplankton and  $\text{NH}_4^+$  indicate that the small-size phytoplankton mainly consisted of green algae and cyanobacteria, while the negative association between  $\text{NO}_3^-$  concentration and the micro-sized group suggests that diatoms may contribute largely to the large phytoplankton. Accordingly, a previous study found that the dominant phytoplankton species in the farm boundary are large-sized diatoms (Chen & Zhang 2011, Guan et al. 2022). Given our study's lack of data on phytoplankton communities, further study is needed to substantiate our findings.

Moreover,  $\text{NH}_4^+$  was found to be negatively related to nano-sized phytoplankton, suggesting that sites with high  $\text{NH}_4^+$  levels may support less nano-sized phytoplankton. As mentioned earlier, smaller-sized phytoplankton may prefer  $\text{NH}_4^+$  (Domingues et al. 2011). The occurrence of this phenomenon could be multifaceted. One possibility is the density-dependent selective feeding by mussels. The intensive farming of mussels might induce the production of  $\text{NH}_4^+$ , suggesting that sites with high  $\text{NH}_4^+$  concentrations, such as Site G1, may indicate a relatively high cultivation density. Indeed, the offshore sites (G2, G3, and G5) experienced a reduction in cultivation density following harvest initiation in August. In contrast, the near-shore site (G1) maintained its farm density throughout the sampling period, possibly due to the relatively small size of mussels there, which were not yet harvested. Additionally, the intensive farming of mussels could lead to a strong removal rate of nano-sized phytoplankton (Li 2019, Zhang et al. 2024). Consequently, Site G1 exhibited a lower concentration of nano-sized phytoplankton.

In addition, we found that DO was positively correlated with pico-sized phytoplankton and negatively correlated with nano-sized phytoplankton in summer. This suggests a difference in oxygen production efficiency between the size classes during photosynthesis. A previous study documented that phytoplankton assemblages were dominated by smaller cells under warm conditions, having higher photosynthetic efficiencies (Robinson et al. 2018). Indeed, Site G1 exhibited a higher concentration of pico-sized phytoplankton compared to other sites, while also displaying higher DO levels in summer.

#### 4.2. Effects of size structure on phytoplankton Sr

In this study, we examined the Sr by determining changes in total chl *a* concentration with the SETCOL

method (Bienfang 1981, Pitcher et al. 1989). The results showed that the average Sr in summer was significantly higher than in winter. Stokes (1851) suggested that particle diameter could greatly and positively affect Srs. The differences in the size structure of the dominant phytoplankton groups between the 2 seasons were likely responsible for the variations in Srs. Moreover, we found that the Sr was positively related to the proportion of micro-sized phytoplankton in summer, while negatively related to the proportion of the smallest phytoplankton (0.2–2  $\mu\text{m}$ ) in both seasons. These results suggest that sites dominated by micro-sized phytoplankton may exhibit higher Srs in summer, while those dominated by pico-sized phytoplankton may display lower Srs in both seasons. Given the shift in size composition between seasons, some sites, such as G3, demonstrated higher Srs in summer and lower Srs in winter. This pattern suggests that the expression of Srs at these sites is likely regulated by the PSSs, as previously suggested by Takahashi & Bienfang (1983) and Mao et al. (2023).

It should be noted that the SETCOL-derived Sr based on chl *a* is thought to be consistently lower than that derived from cell counts (Pitcher et al. 1989). Moreover, the Sr measured by the SETCOL method is likely influenced by settling time. Although the settling time (2–3 h) used in this study may underestimate the Srs of some fast-sinking cells (>6.36  $\text{m d}^{-1}$ ) (Mao et al. 2023), the Sr measured in both seasons was far smaller than that. This suggests that the Sr was relatively reliable in this study.

#### 4.3. Direct and indirect effects of environmental factors on phytoplankton Srs

As discussed above, the PSSs are responsible for the Srs, which are in turn greatly regulated by environmental factors. Our finding suggests that the environment may affect the Sr indirectly, as the key factors are different between seasons. In summer,  $\text{NO}_3^-$  was negatively related to micro-sized phytoplankton, while ammonia was positively related to pico-phytoplankton. Both of these size structures were also significantly associated with Sr, although the directions were opposite. The results suggest that nitrogen-related nutrients may play a role in affecting the Sr through PSS in summer. In winter, *T*, the most important environmental variable affecting the PSS, was positively related to smaller structures (2–20  $\mu\text{m}$ ), which was not correlated with Sr. This suggests that the measured environmental factors could not affect the Sr through PSS in winter.

We questioned whether there were any environmental factors affecting the Sr directly in winter. Interestingly, a positive correlation between Sr and  $\text{NO}_3^-$  was found, indicating that the Sr increases with increasing concentration of  $\text{NO}_3^-$ . However, this relationship is somewhat puzzling. In general, low nutrient levels often result in increased Srs because of a vertical migration strategy to acquire multiple resources from relatively deep layers (Muggli et al. 1996, Titman & Kilham 1976). This is also evidenced by our results in summer that Sr and  $\text{NO}_3^-$  were negatively related. It should be noted that Sr may also affect the material flux around algal cells via boundary layer replacement (Gavis 1976, Karp-Boss et al. 1996, Wolf-Gladrow & Riebesell 1997). A previous study found that nutrient flux in micro-sized phytoplankton increases during fast sinking and then increases their nutrient uptake from the ambient environment (Karp-Boss et al. 1996, Mann & Lazier 1996, Gemmill et al. 2016). Considering that the Sr was positively related to the micro-sized group in summer, the fast sinking of this dominant large phytoplankton may strongly remove the  $\text{NO}_3^-$  and thus result in a negative relationship between them.

However, the question remains as to why the opposite result occurs in winter. One possibility for this phenomenon is that faster-sinking phytoplankton may also promote the production of inorganic nitrogen (e.g.  $\text{NO}_3^-$ ). In winter, the low  $T$  inhibits the biomass of large-size phytoplankton and may cause their death, thus inducing the production of phytoplankton-derived particulate organic matter by natural microbial communities (Wetz et al. 2008). This degradation rate of organic particles is likely accelerated by sinking (Alcolombri et al. 2021) and thus promotes the release of dissolved inorganic matter (Smith et al. 1992). This reasoning is supported by a previous study that an increase in  $\text{NO}_3^-$  concentrations in the open ocean has typically been directly connected with regions of increased sinking particles (Eppley & Peterson 1979). These possibilities suggest that faster-sinking organic matter not only contributes to the vertical flux of carbon but also promotes its own decomposition and thus produces inorganic matter.

## 5. CONCLUSIONS

This study examined the complex interactions between PSSs, Srs, and environmental factors within a high-density mussel farming environment. We found that the dominant size structures of phytoplankton were  $>20$  and  $<20$   $\mu\text{m}$  in summer and winter, respec-

tively, suggesting a dynamic shift in phytoplankton community composition. These dominant size groups were negatively related to  $\text{NO}_3^-$  in summer and positively related to  $T$  in winter, suggesting that  $\text{NO}_3^-$  and  $T$  may play roles in affecting the PSSs in summer and winter, respectively. Interestingly, in summer, the PSS including micro- and pico-sized phytoplankton could also strongly affect their Srs but in opposite directions, which are in turn mainly regulated by  $\text{NO}_3^-$ . This indicates that  $\text{NO}_3^-$  may affect the Sr indirectly via PSS in summer. Meanwhile, a direct effect of  $\text{NO}_3^-$  on Sr was also found in both seasons. However, the associations of the 2 variables were opposite between the 2 seasons, suggesting a complicated mutual interaction between Sr and nutrients. Therefore, Sr is not only regulated by  $\text{NO}_3^-$  but is also controlled by its feedback to changes in  $\text{NO}_3^-$  concentration.

Our results provide insights into the mechanisms driving phytoplankton export from the surface to the bottom water, particularly in the context of coastal waters where aquaculture activities are prevalent. Since our sampling period for each season is likely not sufficient to represent the seasonal dynamics, further studies with extended sampling durations or frequencies are necessary to confirm our findings. Moreover, considering the potential impact of mussel aquaculture on the phytoplankton community and carbon export, further study should also explore the role of mussel cultivation in regulating the biogeochemical processes within aquatic ecosystems.

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